

April 20, 2023

To,
BSE Limited,
P.J. Towers
Dalal Street,
Mumbai 400001,

Dear Sir/Ma'am,

Subject: Compliance Certificate in terms of Regulation 61(4) read with Regulation 40(9) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 (“SEBI Listing Regulations”)

Pursuant to Regulation 61(4) read with Regulation 40(9) of the SEBI Listing Regulations, we hereby submit the Compliance Certificate issued by M/s. Sachin Manseta & Associates, Company Secretaries dated April 20, 2023 for the year ended on March 31, 2023.

We request you to kindly take the above information on record.

For Avanse Financial Services Limited

Rajesh Gandhi
Company Secretary and Compliance Officer
(ICSI Membership No. A-19086)

Encl: As above



Avanse Financial Services Ltd.

Registered & Corporate Office:

001 & 002 Fulcrum, A Wing, Ground Floor,
Sahar Road, Next to Hyatt Regency,
Andheri (East), Mumbai - 400 099 Maharashtra.

T: +91 22 6859 9999

F: +91 22 6859 9900

www.avanse.com

ASPIRE WITHOUT BOUNDARIES

SACHIN MANSETA & ASSOCIATES
Company Secretaries

To,
The Board of Directors,
AVANSE FINANCIAL SERVICES LIMITED
001 & 002 Fulcrum, A Wing,
Ground Floor Sahar Road,
Next to Hyatt Regency, Andheri (East),
Mumbai - 400099

Dear Sir/Madam,

Subject: Certificate as per Regulation 40(9) of the Listing Regulations (LODR) 2015.

I have examined Memorandum of Transfers, Registers, files and other documents relating to **AVANSE FINANCIAL SERVICES LIMITED** ("the Company") maintained by Link Intime India Private Limited ("Share Transfer Agent") pertaining to transfer of debentures of the Company for the period from **1st April 2022 to 31st March 2023** for the purpose of issuing a Certificate as per Regulation 40(9) of the SEBI (Listing Obligations & Disclosure Requirements) Regulations, 2015 and based on the information provided by the Company and Share Transfer Agent, I hereby certify the following for the period from **1st April 2022 to 31st March 2023**:

The company has not received any request/ application for transfer in physical mode, subdivision, consolidation, renewal, issue of duplicate debenture certificate, exchange or endorsement of calls/allotment during the period from **1st April 2022 to 31st March 2023** and was not required to make entries in the Memorandum of Transfers and issue any debenture certificates during the period.

For SACHIN MANSETA & ASSOCIATES


SACHIN MANSETA
Proprietor
Mem. No.-8279



UDIN: F008279E000150586

Date: 20.04.2023

Place: Mumbai.

06, Naman Plaza, S. V. Road, Kandivali (W) Mumbai-400067.
Cell. No. 9930012128 Tel. /Fax No.022-40116829